
Climate and its Impact on the Biological Standard of Living in North-East, Centre-West and South Europe during the Last 2000 Years

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Abstract

We argue that historical climatology is crucial for understanding human living standards, for which anthropometric indices are an important proxy variable, given the biological relationship with quality and quantity of nutrition. For example, did climatic change cause the demographic catastrophes of the 14th and 17th centuries, as Galloway (1986) argued (see e.g. also Kelly, n.y.)? This study uses recent estimates of human stature over the last two millennia in three different European regions and compares them directly with estimates of temperature. We employ both a climatic index based on a number of series, and a recent series by Mann and Jones (2004). The basic finding is that overall, the impact of temperature is economically, but not statistically significant. Starting in the 9th century statistical significance is given, however, when population density exceeds a previously unknown level. It seems that population pressure made the European populations, especially north of the Alps, more vulnerable to climatic shocks.

Climatic influence on height

One of the most fascinating topics of long-run economic history is the relationship between climate and human living standards. Especially in pre-industrial times, we would expect an impact of climate on agricultural production (especially protein production), and thus on the quality of nutrition, and therefore mean height. Furthermore, due to more humid or colder winters, food storage becomes more difficult in Central Europe. Indeed, the impact on human history was immense: on the 18th century climate-height effect see Baten (2002). Grove (2002) demonstrated how the switch from the medieval warm period (900 to the early 13th century) to the Little Ice Age, starting around the late 13th century, has decreased harvests and protein production from cattle and sheep.¹ Not only did temperatures decline, but as colder winters tended to be generally correlated with more frequent weather extremes, other climatic problems also created a deadly synergy. For example, cattle epidemics spread rapidly in Northern and

¹ Grain yields were falling between 1220 and 1320, see Grove (2002), figure 2.

Western Europe by the 13th and early 14th century, killing a large part of the cattle stock. Therefore Grove argued that the agricultural production decline took place before and parallel to the Black Death of the mid-14th century.

Although plague is a highly infectious disease that is only mildly influenced by malnutrition, lower nutritional status might have weakened the immune system of the European population, contributing strongly to the large population loss of the 14th century. In addition, during famines people often leave their households and start to move around in search of other subsistence possibilities (Mokyr and O'Grada, 2002). The most Northern cattle- and fishery-based economies of Europe suffered most. Iceland lost most of its population, and the European population of Greenland completely disappeared.

The 15th century and the first two thirds of the 16th century were warmer again, but the 17th century represents the next climatic catastrophe. Pfister (1988) has described how climate reduced Swiss nutritional status in the last decades of the 16th and most of the 17th century. While most of the population decline of the 17th century is traditionally attributed to the Thirty Years' War as well as to the hunger and the infectious disease that accompanied it, the rapid climatic deterioration could have contributed to the large number of deaths from (at least partially) nutrition-related diseases. The synergy between protein malnutrition and death from a large array of diseases can also explain why the population stagnated or even declined in countries that did not directly participate in the Thirty Years' War. Milder episodes of climatic deterioration in the late 18th and mid-19th centuries coincided with milder demographic effects on average, even if some regions and countries were severely affected (Grove 2002).

These developments in individual centuries suggest that there was an impact of climate on nutritional conditions in some centuries of the 2nd millennium. But what we want to clarify in this study are three questions: Was there a relationship of temperature and mean height also for the long run from 0 to 1800 AD? Did increasing population density lead to higher vulnerability? And if so, at which point in time did this happen?

Climate series

To check this we created a climate index using different series. Recent research offers estimates from Alpine and Scandinavian glacier movements, Greenland ice cores, oak tree rings and lake sediments to quantify climatic change over these centuries.² All of those series appear to be correlated in general. We used the European glacier movements mainly as explanatory variables, because they are available for the ancient period, and the evidence might be less indirect for the region under study compared with, for example, Greenland oxygen isotope ratios (see Lamb 1982; Patzelt, 1994; Heide, 1997; Grove, 2002, p. 316). However, the literature emphasizes that glacier movements reflect temperature changes with a certain time lag. Therefore we calculated the average of the previous and the current century's glacier movement. Additionally, we corroborated our glacier series with tree-ring series from North Sweden that also stretches back to the ancient period (and compared both with a shorter tree-ring series on the Alpine area: they moved in accordance, see Huntley et al., 2002, p. 278).³ For comparison, we

² See e.g. Frenzel et al. 1997, or for an overview of possible methods see e.g. Wigley et al., 1981.

³ We experimented with local temperature series for the three regions North/Eastern, Central/Western and Southern Europe, but the differences between the series were extremely small, so we abandoned this avenue of temperature measurement.

used the recent temperature series estimates by Mann and Jones on the Northern Hemisphere (2003a, 2003b, 2004), which starts in the early 3rd century AD.

Height series

Mean height has often been used as an indicator for the quality of nutrition.⁴ We estimated height trends for the Mediterranean, Northeastern and Central-Western Europe for the 1st to the 18th century A.D. Because of dating limitations for a regular archaeological site, the unit of analysis is restricted to the century. In a related study we devoted considerable space to describe our strategies, here we will only summarize them.⁵ We could rely on a sample of 9477 height estimations from 314 sites. In some cases previous investigators aggregated heights of two to 360 individuals; thus we have 2974 separate height numbers. We used both weighted regressions (weighted with square roots) and regressions with individuals only to estimate height trends by gender and by the three European regions. The regression approach allowed us to control for migration⁶ and social status⁷, as far as we (and earlier scholars) were able to determine this using grave goods and similar information. We arrived at trends as given in Figure 1 and Figure 2. The overall picture shows stagnating heights indicating no real progress in European nutritional status until around 1800 but there is considerable variation between

⁴ This is the common procedure in anthropometric research: see e.g. Komlos, 1989, Komlos and Baten, 1998, Steckel, 1995. But because of the study period we got our height estimations not from written sources, but from physical anthropological analysis of bones from excavated cemeteries, see Koepke/Baten 2005.

⁵ Koepke and Baten, 2005.

⁶ Concerning migration: A number of anthropologists are still convinced that genetic height potentials play a large role, whereas other anthropologists have doubts whether genetic height potentials explain any variation in average height of a population – in contrast to individual height which is clearly influenced by genetic factors (Bogin, 1988, Mascie-Taylor and Bogin, 1995). Anthropometric historians found that environmental circumstances during growth have the most important impact on variation in mean height. Two points are important in this respect. Firstly, most migrants experienced a different environment during their first years of life, compared with the autochthonous population. For example, if they were born in a Northern or Eastern European agricultural environment and then migrated to the Mediterranean in their later life, we would expect them to be significantly taller. Secondly, if immigration is large enough, agricultural production techniques might be transferred to the target region, if they turn out to be sufficiently efficient in the new environment. We know that the most important migration streams moved from the Mediterranean region into Central and Western Europe in the first to third century, and there were important Germanic (and other) migrations from Northern Europe to Eastern, Central and Southern Europe and later to the British Isles from the fourth to sixth centuries.

Migrants from the Mediterranean to Central Europe (especially Roman soldiers and officers, as well as administrative staff) turned out to be 4 cm shorter than the rest of the population. But skeletons that could be identified as “Germanic migrants” were not significantly different from Eastern Europeans. Also not statistically significant, but economically meaningful was their coefficient in the “Mediterranean” regression: Germanic migrants, who died in the Mediterranean region, were 1.63 cm taller.

⁷ Social status is an important variable, as many studies on the 18th to 20th centuries found height differences of typically 2-4 cm among adults of lower versus middle and upper class (see e.g. Baten, 2000). In our data set, we relied mostly on the classification schemes of the original studies. If skeletons were not of higher social rank, the excavation reports often did not find this fact worth mentioning. We therefore assigned dummy variables only to the cases of middle and upper class social origin (leaving a “lower or unknown” group for the constant). This also means that we should not over-interpret the coefficient of this social status variable. However, this variable is not only important by itself, but is also necessary to control for the social composition and potential social selectivity when we analyze height trends. Although the bulk of our measurements stems from burial sites that represented all social strata, we wanted to exclude the possibility of social selectivity causing height trends as far as possible.

centuries.⁸ How could we make sure that this was a reliable estimate of height development? Naturally, this kind of estimation (for non-modern periods) has many limitations – although our sample is much larger than earlier studies, the number of cases is still small in comparison to data sets on more recent periods. But it is reassuring that counterchecking height trends for separate European regions and for genders moved in similar directions, except where we expected them to diverge. For example, we expected a worsening development for Northern and Eastern Europe during the Little Ice Age (14-17th centuries) because of the more extreme impact of the temperature change there, and the Northeastern Europeans actually lost their favorable position during these centuries.⁹ In contrast, the conditions were more favorable in more continental Central-Western Europe during this period.

Female mean height is naturally always lower than male height. But female growth is also determined by discrimination of females. Female heights were even more depressed relatively to males during the Middle Ages than in the other epochs, whereas gender dimorphism decreased in the Renaissance period.¹⁰ This fact also fits our expectations.

Apart from those expected deviations, height trends moved relatively similarly in the long run. Hence, we conclude that the estimates of development were reasonably reliable. But we applied an additional strategy to ascertain reliability: we checked burial sites that were in use for more than a century. If those shared the same trend with the large region, we could be sure that it was not a random regional composition effect that caused our trends. Among those cases with large sample numbers the majority pointed in this direction.

The highly synchronistic development of human heights by itself might suggest an influence of temperature conditions, because these probably have been more similar across European regions than economic conditions.

⁸ During Roman times we have more or less stagnating heights; this is interesting as (having archaeological studies in mind) one would expect an increase in the 2nd century, and a more pronounced decline in the 4th century. Remarkably is the increase in the 5th and 6th century despite the migration period temperature pessimum. The 11th and 12th century were favourable for mean height - this is also the medieval warm period, see Crowley and Lowery, 2000. The decrease in the 13th century may be explained by bad climate (beginning of the Little Ice Age). The decrease in the 17th century could be a consequence of the thirty-year's war and parallel climatic deterioration.

⁹ In general people in the North-Eastern region are the tallest, Mediterraneans have the lowest mean height: However, not due to genetics, but due to environmental factors: The Northeast has low population density and animal protein rich diet due to cattle husbandry, whereas in the South it is the opposite (high population pressure and Mediterranean diet based on less meat consumption, in this concentrated on pork): see e.g. King, 1999. In between are the people of Central-Western Europe, which is the region that has been under Roman occupation in the beginning of our study period.

¹⁰ See e.g. Ulrich-Bochsler, 1996.

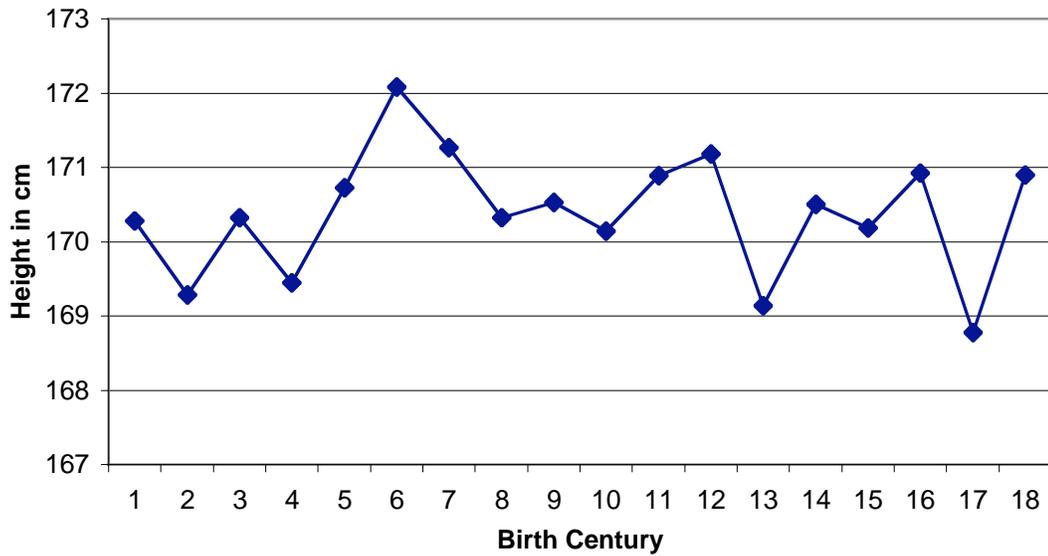


Fig. 1. Height development, 1st to 18th centuries A.D. (in cm, male and female). Source: see Table 1. The level of heights was adjusted to male heights of an average European (using the regional coefficients and weighting them with sample weights).

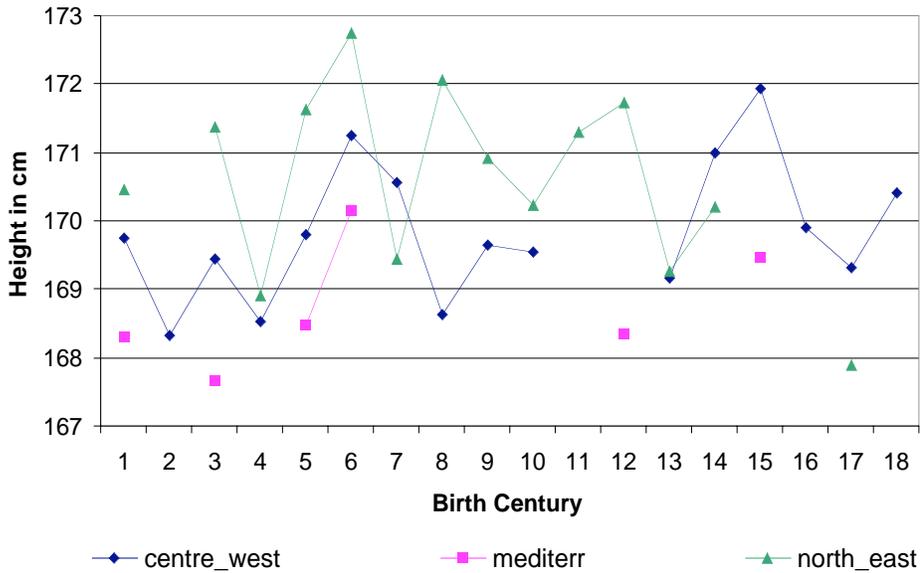


Fig. 2. Height development by major regions (in cm), 1st to 18th centuries A.D. Source: see Table 1.

Comparison of temperature and height development

There are some similarities and many differences between the height and the temperature series (Figure 3). The well-documented climatic optimum of the 11th/12th centuries and lower values before and after are visible in the height series. The low values of the 7th and 8th centuries,

and the crisis of the 17th century could have been caused by adverse climatic conditions.¹¹ Important deviations relate to the 1st to 6th and to the 13th centuries.

In our opinion the most likely interpretation is that - despite of the phase of Migration Period Pessimism - after the breakdown of the Roman Empire - average height increased because of better nutritional status and improved environmental conditions, due to various phenomena: (1) population density and urbanization decreased after invasions and plague epidemics. The consumers moved back to the proximity of nutrient production. Infectious disease might have appeared less frequently (although the (supposed)¹² second occurrence of the plague in the sixth century contradicts it). (2) Germanic invaders brought their agricultural methods that emphasized protein production. Even if this specialization was inefficient in the Mediterranean, the settlers might have kept them for a transitory period. In Central and Western Europe, the methods were efficient as long as population density was low.

These two developments might explain why the temperature-height relationship is not visible for the first eight centuries.¹³ A second possible interpretation is that after the 9th century, population density was so high that the European population became more vulnerable to climate.

A comparison of the development of mean height and the temperature index created by Mann and Jones (2004) (Figure 4) indicates more parallel movements. But also in this case, we cannot see a connection over all the centuries of our study period. Interestingly, this similar development starts with the 9th century A.D., whereas it is very obvious that from the 1st until the 8th century A.D. both series do not move together at all.

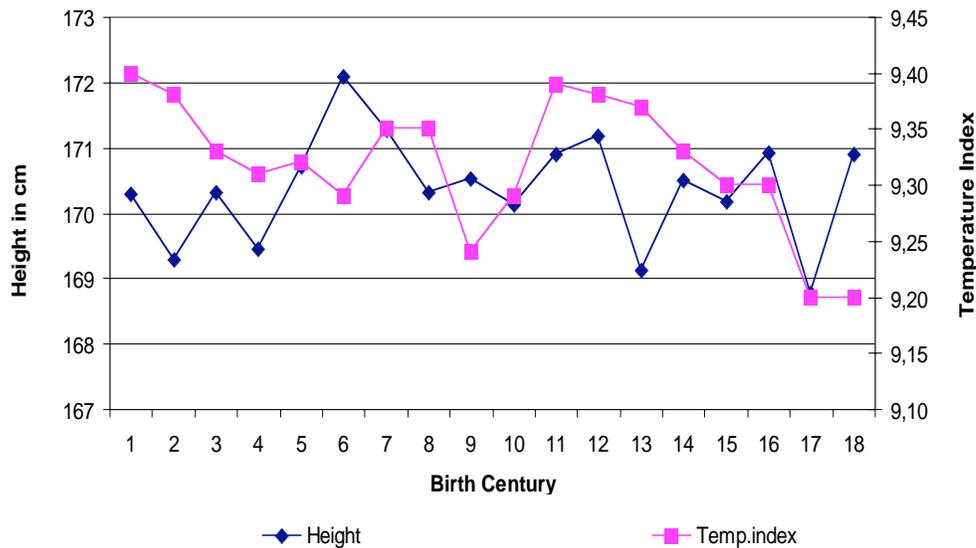


Fig. 3. Height (in cm) and temperature development (based on glacier movements and tree rings), 1st to 18th centuries A.D.

¹¹ Around 1700 was (probably) the coldest phase of the Little Ice Age: see Bradley/Jones, 1993.

¹² The so-called Antonine plague is regarded as the first one.

¹³ The low height value of the 13th century is particularly interesting and deserves further study. Was it because of the rapid urbanization of this period (more infectious disease, less milk for rural-to-urban migrants)? Or because of more social or gender inequality? Does a measurement error bias the height variable?

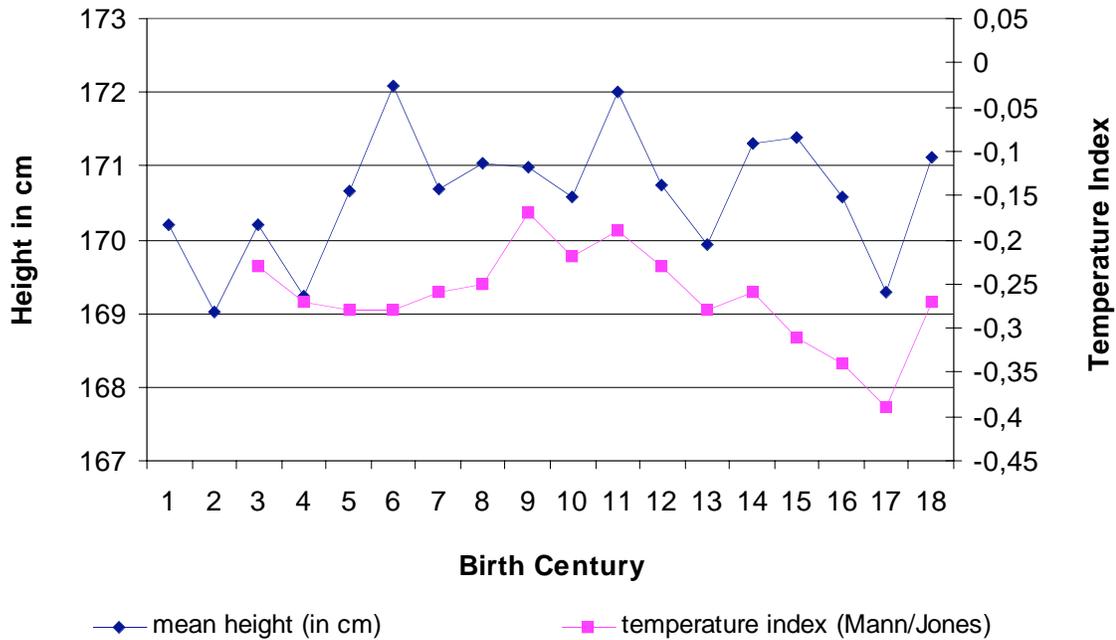


Fig. 4. Height (in cm) and temperature development (index by Mann-Jones), 1st to 18th centuries A.D.

Results: impact of temperature on height

Using both our composite index and the Mann/Jones temperature index we come to the conclusion that warmer climate has a positive, but insignificant impact on mean height for the whole period (Table 1, col. 2-5): warmer temperature is good for harvests and protein production in the relevant range, and this is favorable for height. The difference between two standard deviations of our climatic series is 0.12, the difference between minimum and maximum is 0.20. The coefficient of the more appropriately specified model is 2.97. The difference between “good” and “bad climate” was therefore about 0.4 cm, the difference between the extremes about 0.6 cm. Both values are at the margin of being economically significant.¹⁴ Without controls for period, this variable is economically unimportant. But the tall stature of North-Eastern Europeans in the warm 11th/12th century, and its dramatic decline afterwards lends support for the importance of this variable. Using Mann and Jones’ climate index (see Table 2, col. 2 and 3) the difference between minimum and maximum is 0.22. The coefficient of 7.01 indicates that two standard deviations (0.10) imply 1.4 cm height difference between typical favorable and unfavorable periods.

If we conduct regressions starting in the 9th century A.D., the relationship between temperature and height becomes statistically significant (see Table 1, col. 6 and 7). Again, this result is robust using both temperature indices (see also Table 2, col. 4 and 5). This is interesting, because in the 9th century, population density exceeded a previously unknown level. It seems as

¹⁴ Temperature is statistically insignificant as explanatory variable for mean height; without controlling for period it is even economically unimportant.

if population pressure made the European populations, especially north of the Alps, more vulnerable to temperature shocks.

Table 1. Two regressions : determinants of mean height in Europe, using our composite index for climatic conditions. P-Values in columns 3, 5, 7 in italics. Weighted Least Squares Regression: number of cases adjusted for aggregated observations using square roots. Constant refers to a hypothetical height value for the Early Middle Ages, and Central/Western Europe. Columns 2 - 5: 1st - 18th century A.D. Columns 6-7: 9th - 18th century A.D. Source: see Koepke/Baten 2005.

1	2	3	4	5	6	7
CENTURIES	1st-18th		1st-18th		9th-18th	
	Coefficients	p-values	Coefficients	p-values	Coefficients	p-values
Constant	144.4	<i>0.00</i>	164.37	<i>0.00</i>	-14.03	<i>0.00</i>
Climate warm	2.97	<i>0.52</i>	0.82	<i>0.84</i>	18.94	<i>0.02</i>
Gender inequality	- 0.31	<i>0.50</i>	-0.29	<i>0.46</i>	1.60	<i>0.02</i>
Urban share	0.16	<i>0.23</i>	0.2	<i>0.14</i>	0.25	<i>0.08</i>
Population density	- 0.06	<i>0.37</i>	-0.08	<i>0.20</i>	-0.03	<i>0.55</i>
Roman Bath/ Technology			-2.05	<i>0.01</i>		
Social inequality			-0.17	<i>0.58</i>		
Mediterranean	- 1.66	<i>0.05</i>	-1.67	<i>0.04</i>	-3.56	<i>0.01</i>
North-Eastern Europe	1.17	<i>0.03</i>	0.89	<i>0.07</i>	0.92	<i>0.17</i>
Antiquity	- 1.68	<i>0.01</i>				
Late Medieval Period	- 0.48	<i>0.52</i>			-3.00	<i>0.02</i>
Modern (15 th to 18 th c.)	- 0.76	<i>0.59</i>			-0.79	<i>0.49</i>
Adj. Rsq	0.33		0.38		0.60	
N	36		36		17	

Table 2. Two regressions: Determinants of mean height in Europe, using the Mann-Jones index for climatic conditions. P-Values in columns 3, 5 in italics. Weighted Least Squares Regression: number of cases adjusted for aggregated observations using square roots. Constant refers to a hypothetical height value for the Early Middle Ages, and Central/Western Europe. We used the data on the Northern hemisphere provided by Mann/Jones to get best possible correspondence; unfortunately no data especially on Europe is given. Columns 2 - 3: 3rd - 18th century A.D. Columns 4-5: 9th - 18th century A.D. Source: see Koepke/Baten 2005 and Mann/Jones 2004.

1	2	3	4	5
CENTURIES	3rd-18th	9th-18th		
	Coefficients	p-values	Coefficients	p-values
Constant	175.78	<i>0.00</i>	172.05	<i>0.00</i>
Climate warm (Mann/Jones 2004)	7.01	<i>0.23</i>	15.51	<i>0.03</i>
Gender inequality	-0.69	<i>0.23</i>	0.60	<i>0.91</i>
Urban share	0.22	<i>0.12</i>	0.34	<i>0.03</i>
Population density	-0.08	<i>0.18</i>	-0.09	<i>0.11</i>
Mediterranean	-2.05	<i>0.02</i>	-3.33	<i>0.01</i>
North-Eastern Europe	1.10	<i>0.05</i>	1.33	<i>0.07</i>
Antiquity	-1.79	<i>0.01</i>		
Late Medieval Period	-0.16	<i>0.82</i>	0.73	<i>0.37</i>
Modern (15 th to 18 th c.)	-0.48	<i>0.75</i>	1.40	<i>0.34</i>
Adj. Rsq	0.31		0.54	
N	32		17	

One could argue that cold climate might not be harmful but rather beneficial in the Mediterranean, because precipitation could become more frequent there, when temperature gets colder. We tested (using our index) whether our results would change if we exclude the observations on the Mediterranean, and found the coefficient for temperature unchanged: the coefficient was 2.74, compared with 2.97 when the Mediterranean was included (see Table 3).

Table 3. Regression: Determinants of mean height only in western and northeastern Europe, using our composite index for climatic conditions. P-Values in column 3, in italics. Weighted Least Squares Regression: number of cases adjusted for aggregated observations using square roots. Constant refers to a hypothetical height value for the Early Middle Ages, and Central/Western Europe. Source: see Table 1.

1	2	3
CENTURIES	1 st -18 th	
	Coefficient	p-values
Constant	146.51	<i>0.01</i>
Climate warm	2.74	<i>0.60</i>
Gender inequality	- 0.32	<i>0.53</i>
Urban share	0.17	<i>0.29</i>
Population density	- 0.07	<i>0.37</i>
North-Eastern Europe	1.15	<i>0.06</i>
Antiquity	- 1.76	<i>0.02</i>
Late Medieval Period	- 0.36	<i>0.67</i>
Modern (15 th to 18 th c.)	- 0.56	<i>0.72</i>
Adj. Rsq	0.21	
N	30	

Except for the bundle of explanations given above for the missing relation of temperature and mean height in the long run, it is possible, of course, that there is measurement error, especially for the early period, for which the temperature estimates are known to be particularly imprecise.¹⁵ We also have to keep in mind the probability that other climatic factors, e.g. precipitation, are also important determinants, which we could not control for in detail, because no data series are available fitting with our long-run study period for now.

Which other factors influenced the development of mean height? Apart from temperature, most of the other variables are statistically insignificant, but bear the expected sign in the regression analysis (see Table 1): Population density comes closest to statistical significance; in unweighted regressions the p-value is even as low as 0.15. This suggests that lower population density is advantageous for the biological component of the standard of living that is reflected in stature in pre-industrial times, after controlling for large-region effects and inequality. The analysis of economic significance for population density yields a height effect of about 1.0 cm for the typical “high” and “low” population density of the time, and 2.2 cm between the most extreme observed values. In other specifications, the economic significance of population density would even be one third greater. Malthusian theory of land as limiting factor for human development seems to be confirmed (for period until 1800). Gender inequality and social inequality both had negative signs in the regressions for the whole period (col. 2-5). Given that these results are similar to those of many other studies on the 18th to 20th centuries, we tend to attribute fairly large credibility to them. In terms of economic significance, social inequality meant 0.63 cm between high and low, and 0.74 between extremes, whereas the effect of gender inequality was about half of that. The slightly astonishing result for gender inequality during the

¹⁵ But it is also possible that the relation is truly weak. The interpretation could be awkward, because the temperature series does not correspond to real conditions exactly enough. For example, by now the discussion is still in progress, when the Little Ice Age started: compare e.g. de Menocal et al., 2000; Jones et al. 2001.

9th to 18th centuries (Table 1, col. 6: positive) is not robust. When using the Mann-Jones climate index, gender inequality is insignificant, and the coefficient less than half the size. The “Roman bath/technology” dummy¹⁶ in the regression without time dummies actually has an unfavorable impact on mean height. In sum, population density is definitely of economic significance, but not of statistical significance. Social inequality and gender inequality are at the margin of being economically significant.

Conclusion

Our study is based on the first anthropometric estimates on the biological standard of living in central Europe of the two millennia A.D. In the long run no general increase in mean height took place. The height development is quite synchronistic in the three “large regions” of Europe. Population density is an economically significant determinant of mean height: it has a negative influence on height due to Malthusian factors. Urbanization has a positive impact, if we control for population density. Roman health system/technology, social and gender inequality, as well as temperature are of marginal significance.

The results regarding temperature are robust using different temperature indices. Remarkably, we found a statistically significant relationship between temperature and height using data from the 9th century AD onwards; probably extremely increased population density made the Europeans more vulnerable to climatic changes.

¹⁶ In order to test how Public Health developed over the last two millennia - especially, whether the picture of an advanced Roman bathing system and water supply technology on the one hand, and a decline of hygienic conditions after the end of the Roman empire on the other hand, is correct - we coded a “Roman Bath” dummy variable (1 for the Mediterranean for the centuries 1 to 4, and for Central/Western Europe for the centuries 2 to 4). As this specification might also captures other aspects of Roman technology and the imperial economic system we named this variable “Roman bath/technology”. In the regression without time dummies this dummy becomes statistically significant. However, contrary to popular belief Roman bath technology and especially other technology (e.g. in agricultural terms) could not compensate negative effects of the Roman economic system: the coefficient of this variable is negative.

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